## Lessons for

## A first course in

# Magnetohydrodynamics

Instructor's version

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# Contents

List of lessons											
Preface to the instructor's version											
Prefac	e to the student's version	x									
Introd	uction	2									
Chapt	er 1. The Fundamentals of Hydrodynamics	4									
1.1	Kinetic Theory of Gases	4									
1.2	Theorem of hydrodynamics	10									
1.3	Conservative equations of ideal HD	12									
1.4	Primitive equations of ideal HD	18									
Chapt	er 2. Selected Applications of Hydrodynamics	24									
2.1	Sound waves	24									
	2.1.1 The wave equation	24									
	2.1.2 Eigenvalue approach	27									
2.2	Rankine-Hugoniot jump conditions	35									
2.3	Bernoulli's theorem	48									
	2.3.1 Example 1: A broad-crest weir	53									
	2.3.2 Example 2: Bernoulli levitation	54									
	2.3.3 Example 3: de Laval's nozzle	56									
Chapt	er 3. The HD Riemann problem	60									
3.1	Lagrangian and Eulerian frames of reference	60									

Table of Contents iii

3.2	Three characteristics of HD	63
3.3	Characteristic paths and space-time diagrams	69
3.4	The MoC and the Riemann problem	72
3.5	Rarefaction fans	77
3.6	Solution to HD Riemann problem	81
Chapte	er 4. Fundamentals of Magnetohydrodynamics	86
4.1	Ideal induction equation	88
4.2	Alfvén's theorem	89
4.3	Modifications to HD equations	92
4.4	Equations of ideal MHD	96
4.5	Magnetic helicity (optional)	99
Chapte	er 5. MHD Waves and Discontinuities	104
5.1	Drimitive and concernative equations in 11 D	
	Primitive and conservative equations in $1\frac{1}{2}$ -D	104
5.2	MHD wave families	104 106
5.2	<u>-</u>	
5.2	MHD wave families	106
5.2	MHD wave families	106 108
5.2	MHD wave families	106 108 114
	MHD wave families	106 108 114 123
5.3	MHD wave families	106 108 114 123 125
5.3	MHD wave families	106 108 114 123 125 140
5.3 Chapte	MHD wave families  5.2.1 (Shear) Alfvén waves  5.2.2 Magnetosonic waves  5.2.3 Summary of MHD waves  MHD Rankine-Hugoniot jump conditions  5.3.1 Three special cases	106 108 114 123 125 140 <b>147</b>

Table of Contents iv

6.4	An MHD Riemann solver	158
	6.4.1 Rules of the waves	158
	6.4.2 Overall strategy: Guesses!	159
	6.4.3 A "broad-brush" algorithm	166
Chapte	er 7. Fluid Instabilities	173
7.1	Kelvin-Helmholtz instability	173
	7.1.1 Development of the KHI	177
7.2	Rayleigh-Taylor instability	182
7.3	Magneto-rotational instability (MRI)	191
	7.3.1 Angular momentum transport by the MRI	200
7.4	The Parker instability	202
Chapte	er 8. Viscid Hydrodynamics	211
8.1	The stress tensor (a non-tensor approach)	211
8.2	Viscosity and Newtonian fluids	213
8.3	Navier-Stokes equation	219
8.4	Viscid energy equations	222
8.5	The Reynolds number	227
8.6	Applications	232
Chapte	er 9. Steady-state MHD	<b>246</b>
9.1	Weber-Davis constants	246
9.2	MHD Bernoulli function	254
9.3	Stellar winds	263
	9.3.1 Assumptions	263

Table of Contents

	9.3.2	Weber-Davis model	264
9.4	Astrop	hysical jets	273
	9.4.1	Definition	273
	9.4.2	Extragalactic jets	273
	9.4.3	Galactic jets	281
Chapte	er 10. I	Non-ideal MHD	291
10.1	Introdu	uction and motivation	291
10.2	Three	non-ideal terms	291
10.3	Resisti	ve dissipation	302
	10.3.1	Resistive induction equation	302
	10.3.2	Energy dissipation	303
	10.3.3	Magnetic diffusion and reconnection	304
10.4	The H	all effect	311
	10.4.1	Hall's Hall effect	311
	10.4.2	The MHD Hall effect	312
	10.4.3	Magnetic reconnection, revisited	314
10.5	Amhin	olar diffusion and the two-fluid model	310

## List of Lessons

## Part I 1-D MHD in Ten Weeks

Lesson	1		•					•				•													. 1
Lesson 2	2																								. 9
Lesson 3	3		•																						16
Lesson	4																								23
Lesson	5																								33
Lesson	6																								41
Lesson '	7																								51
Lesson 8	8																								59
Lesson 9	9																								68
Lesson	10																								76
Lesson	11												•							•					85
Lesson	<b>12</b>																								95
Lesson	13																								103
Lesson	14																								113
Lesson	15																								121
Lesson	16																								129
Lesson	17																								136
Lesson	18																								146
Lesson	19																								156
Lesson 2	20																								163
				т.		,	тт		<b>A</b>	1 1	.,.		1 -	П			/ T	<b>π</b> \ .	T T 1						
					aı										_		`								
Lesson 2																									
Lesson 2	22																								181

г т	••
List of Lessons	VI

Lesson	23																				190
Lesson	24								•						•					•	199
Lesson	<b>25</b>																			•	210
Lesson	26													•						•	218
Lesson	27	•																	•		226
Lesson	28	•																			235
Lesson	29	•																			245
Lesson	30	•																			253
Lesson	31										•					•				•	262
Lesson	32										•					•				•	272
Lesson	33										•					•				•	290
Lesson	34										•					•				•	301
Lesson	35	•																			310
Lesson	36																				318

### Preface to the instructor's version

This document contains the *instructor's version* of thirty-six lessons based on the textbook A first course in Magnetohydrodynamics (hereafter, "the text") suitable for a single-semester course with 24-36 classes. Each lesson is typically six pages of large-font double-spaced type designed for a 75-minute "flipped-style" class in which students are expected to have read the student's version of the lesson and any relevant portion of "the text" before coming to class. With this preparedness, the instructor can use class time to discuss the lesson notes and address students' questions rather than writing everything down on the board with students copying furiously. What the instructor elects to put on the board for clarity can be copied by students in between the lines of the lesson notes on a paper copy or in an editable PDF reader on their laptop. Each lesson concludes with a class exercise (in single-spaced, normal-sized font) designed to reinforce some of the main points of the lesson that can be done as part of a group discussion in 10-20 minutes.

The instructor's and student's versions of these lesson notes are not identical. Omitted from the student's version are:

- 1. answers to the end-of-class exercises; and
- 2. "demonstrations" such as web links for images and videos, embedded pictures with discussion, and suggested analogies for the instructor.

The intent is for the instructor to make available to the class at the beginning of term the entirety of the student's version of the lessons, whereas the instructor would teach from the instructor's version with the current lesson projected on the overhead. Portions omitted from their version would be seen by students for the first time during class. After each class, the instructor would replace the student's version of the lesson with the instructor's version so that students have access to the exercise answers and "demonstrations" after they've been presented and for further study. To do this, one merely opens up the LATEX document lessons\_stu.latex, scrolls down to the bottom, and replaces "stu" with "ins" for the lesson just taught. The document is then recompiled by typing at the UNIX prompt:

#### ml lessons\_stu

where the script file "ml" that executes the necessary LATEX commands is included in the same directory as lessons\_stu.latex from where "ml" should be executed. This will update the PDF document lessons\_stu.pdf which the instructor can upload to where students access the lesson notes. In this way, the student's version gradually becomes the instructor's version as the course unfolds.

Equations in the lesson notes are numbered by section, and thus have two decimals. For example, Eq. (3.1.4) is the fourth equation in §3.1 of Chap. 3. In "the text", equations are more coarsely numbered by chapter and have just one decimal; whence Eq. (3.24) is the 24<sup>th</sup> equation in Chap. 3 without reference to the section. Thus, equation references in these

notes with two decimals are to other equations in these notes, whereas equation references with just one decimal are to those in "the text". Further, while all chapter headings in the lesson notes follow those in "the text", beware that some of the section and subsection headings and numberings do not.

These lesson notes are provided free of charge by the author of "the text" to legitimate instructors using "the text" as required reading for their course. Further, copyright and distribution rights remain with the author, and any use by anyone of this document beyond teaching their course or distributing it to students in their class is a violation of copyright.

David Clarke, Halifax, NS. October, 2024

### Preface to the student's version

Welcome to your first course in magnetohydrodynamics (MHD), what I hope will be a memorable introduction to the fundamentals of how 99.99% of the baryonic universe operates!

This document contains thirty-six lessons based on the text, A first course in Magnetohy-drodynamics (hereafter "the text"). Each lesson is typically six pages of large-font double-spaced type designed for a 75-minute "flipped-style" class in which you, the student, will be expected to have read (along with any relevant portion of "the text") before coming to class. With this preparedness, your instructor can use class time to discuss the lesson notes and address your questions rather than writing everything down on the board with you furiously copying before the board is erased! What your instructor elects to put on the board for clarity, you can copy down at a more leisurely pace in between the lines of a paper copy of these lesson notes or in an editable PDF reader on your laptop. Each lesson concludes with a class exercise (in single-spaced, normal-sized font) designed to reinforce some of the main points of the lesson and which your instructor may include as part of a group discussion.

While MHD is based on four very fundamental and familiar conservation laws (mass, energy, momentum, and magnetic flux), the mathematics is subtle and intricate, often masking the physical beauty behind its formality. It is therefore imperative for you, the student, to keep up with these notes and "the text" so that the "language of mathematics" doesn't "get in the way" but rather "speaks to you" as intended. Be sure to read each lesson before coming to class, and do not shy away from approaching your instructor even before class if there are key concepts you think you're missing.

On two practical matters, equations in the lesson notes are numbered by section, and thus have two decimals. For example, Eq. (3.1.4) is the fourth equation in §3.1 of Chap. 3. In "the text", equations are more coarsely numbered by chapter and have just one decimal; whence Eq. (3.24) is the 24<sup>th</sup> equation in Chap. 3 without reference to the section. Thus, equation references in these notes with two decimals are to other equations in these notes, whereas equation references with just one decimal are to those in "the text". Further, while all chapter headings in the lesson notes follow those in "the text", beware that some of the section and subsection headings and numberings do not.

And with that, I wish you all a great adventure!

David Clarke, Halifax, NS. October, 2024

## Lesson 1

In this first lesson, areas of study in *continuum* and *particle dynamics* are defined, including,

- 1. fluid and gas dynamics;
- 2. plasma physics; and
- 3. magnetohydrodynamics (MHD).

The approach this course takes to study MHD is then identified.

The rest of the lesson is a review of the *Kinetic theory of gases*, where:

- 1. the applicable conservation laws are surveyed;
- 2. a link is made between momentum transfer and pressure;
- 3. a link is made between average particle kinetic energy and temperature;
- 4. the rms speed of gas particles at a given temperature is defined.

## Introduction

Definition: A fluid is one of three states of matter that flows:

- 1. liquid incompressible;  $\rho$  (density) = constant
- 2. gas compressible
- 3. plasma (perhaps partially) ionised gas

Physical property distinguishing each state: temperature.

## Formal definition of a fluid

Let  $\delta l$  = mean free path of particles (distance between collisions)

Let  $\mathcal{L} = \text{any measurable scale of interest}$ 

- physically: smallest "turbule" as fluid cascades to turbulence
- numerically: one zone

A fluid is a medium in which  $\delta l \ll \mathcal{L}$ ; "granularity" is sub-microscopic.

Fluid dynamics  $\equiv$  hydrodynamics  $\equiv$  continuum mechanics: physics of fluid flow, accounting for,

- conservation of massconservation of energy(classical mechanics)
- Newton's second law
- an equation of state (thermodynamics)

Ideal fluid dynamics: assumes zero dissipation, i.e., no viscosity (inviscid)

Gas dynamics: compressible fluid dynamics

Introduction 3

Plasma physics: collective behaviour of  $e^-$  + ions with charge separation,  $\lambda_D \gtrsim \delta l \ (\lambda_D \equiv Debye \ length)$ . The Vlasov-Boltzmann equation accounts for:

- collisions;
- electrodynamic forces arising from  $\vec{E}$  and  $\vec{B}$ ;
- conservation laws;
- Newtonian and/or relativistic dynamics,

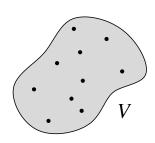
and is beyond scope of this course.

 $Magnetohydrodynamics~(MHD) \equiv plasma~physics~with~\lambda_D \ll \delta l,~or~fluid~dynamics~with~non-zero~\vec{B}.$  This course adopts latter approach.

- Fluid can't support "static" electric fields (free charges), but can support "induced" electric fields:  $\frac{\partial \vec{B}}{\partial t} = -\nabla \times \vec{E}_{\text{ind}}$ .
- Collective behaviour of  $e^- + ions \Rightarrow \vec{B} \Rightarrow \vec{J} = \nabla \times \vec{B} \Rightarrow \text{Lorentz force}$   $(\vec{J} \times \vec{B}).$
- MHD = HD + Lorentz forces + induction equation.

## Chapter 1. Fundamentals of Hydrodynamics

## 1.1 Kinetic Theory of Gases



Consider ensemble of  $\mathcal{N}$  particles, mass m, in volume V.

"Walls" of V may be rigid or completely flexible so that they deform as needed to keep all  $\mathcal{N}$  particles within V.

All particle-particle, particle-wall collisions are elastic.

Governing physical principles are known to first-year students:

conservation of mass:  $\frac{dM}{dt} = 0; \qquad M = \mathcal{N}m$ 

conservation of energy:  $\frac{dE_{\rm T}}{dt} = \mathcal{P}_{\rm app}$ 

Newton's Second Law:  $\frac{d\vec{S}}{dt} = \sum \vec{F}_{\text{ext}}; \qquad \vec{S} = m \sum_{i=1}^{N} \vec{v}_i$ 

where:

 $\vec{F}_{\text{ext}} = \text{forces } external \text{ to } V \text{ acting on particles within } V$ :

- collisions between particles outside V with "walls" of V ("applied")
- gravity
- magnetic
- viscous forces along "walls" of  ${\cal V}$

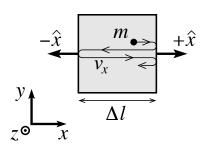
 $E_{\rm T}$  = "total energy" = kinetic + internal + gravitational (+ magnetic)

 $\mathcal{P}_{app} = \text{power}$  (rate at which work is done) by applied forces

- external collisions only
- gravitational and magnetic energy already part of  $E_{\mathrm{T}}$

Big question: How do we account for collisions?

Consider single particle of mass m within cube of side  $\Delta l$ , velocity  $\vec{v} = v_x \hat{x}$ .



After collision with wall,  $\vec{v}' = -v_x \hat{x}$ 

$$\Rightarrow \Delta \vec{S}_m = m\vec{v}' - m\vec{v} = -2mv_x\hat{x} = \text{impulse to } m.$$

Conserve momentum:  $\Delta \vec{S}_x = 2mv_x\hat{x} = \text{impulse delivered to right } (+\hat{x}) \text{ wall.}$ 

At time  $\Delta t = 2\Delta l/v_x$ , particle again collides with right wall.

 $\Rightarrow$  average rate of delivery of momentum to right wall,  $\langle F_x \rangle$ , is:

$$\langle F_x \rangle = \frac{\Delta S_x}{\Delta t} = \frac{2mv_x}{2\Delta l/v_x} = \frac{mv_x^2}{\Delta l}.$$

 $\Rightarrow$  average "pressure" exerted by m against right wall is:

$$\langle p \rangle = \frac{\langle F_x \rangle}{\text{area}} = \frac{\langle F_x \rangle}{\Delta l^2} = \frac{m v_x^2}{\Delta l^3} = \frac{m v_x^2}{V}.$$

For  $\mathcal{N}$  particles, all moving in  $\hat{x}$ -direction:

$$p = \sum_{i=1}^{N} \langle p_i \rangle = \frac{m}{V} \sum_{i=1}^{N} v_{x,i}^2 = \frac{\mathcal{N}m}{V} \underbrace{\frac{1}{\mathcal{N}} \sum_{i=1}^{N} v_{x,i}^2}_{\langle v_x^2 \rangle} = \frac{\mathcal{N}m}{V} \langle v_x^2 \rangle, \tag{1.1.1}$$

where  $\langle v_x^2 \rangle$  is the mean square of  $v_{x,i}$  over the particle ensemble.

Now bring in fluid assumption:  $\delta l \ll \Delta l \Rightarrow$  particle-particle collisions isotropise all motions,

$$\Rightarrow \langle v_x^2 \rangle = \langle v_y^2 \rangle = \langle v_z^2 \rangle$$
 (no direction preferred over others)

$$\Rightarrow \langle v^2 \rangle = \langle v_x^2 + v_y^2 + v_z^2 \rangle = \langle v_x^2 \rangle + \langle v_y^2 \rangle + \langle v_z^2 \rangle = 3 \langle v_x^2 \rangle,$$

and Eq. (1.1.1) becomes:

$$p = \frac{\mathcal{N}m}{3V} \langle v^2 \rangle = \frac{\mathcal{N}mv_{\rm rms}^2}{3V}, \qquad (1.1.2)$$

where  $v_{\rm rms} = \sqrt{\langle v^2 \rangle} = root\text{-}mean\text{-}square velocity.}$ 

Now, from ideal gas law:

$$p = \frac{\mathcal{N}k_{\rm B}T}{V}$$
  $\Rightarrow$   $T = \frac{pV}{\mathcal{N}k_{\rm B}} = \frac{mv_{\rm rms}^2}{3k_{\rm B}}$  (substituting in Eq. 1.1.2)  
 $\Rightarrow \frac{3}{2}k_{\rm B}T = \frac{1}{2}mv_{\rm rms}^2 = \langle K \rangle,$  (1.1.3)

where  $k_{\rm B} = 1.38 \times 10^{-23} \, {\rm J \, K^{-1}}$  (units of entropy) = Boltzmann constant,  $\langle K \rangle$  = average kinetic energy per particle.

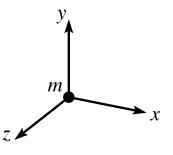
### Interpretations:

- pressure (Eq. 1.1.2): rate of transfer of momentum from particles to surface (e.g., wall, barometer diaphragm, skin, etc.);
- temperature (Eq. 1.1.3): proportional to mean particle kinetic energy.

Let  $E = \text{total internal energy of all } \mathcal{N}$  particles (randomly directed K). Then,

$$E = \mathcal{N}\langle K \rangle = \frac{3}{2}\mathcal{N}k_{\rm B}T = 3\underbrace{\left(\frac{1}{2}\mathcal{N}k_{\rm B}T\right)}_{E \text{ per "degree of freedom"}}$$

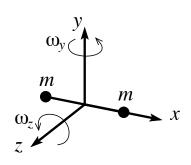
Point particle has three degrees of freedom: translational motion in each of x, y, z directions, each contributing  $\frac{1}{2}\mathcal{N}k_{\rm B}T$  to internal energy.



<u>Principle of Equipartition</u> (PoE): Left to its own devices, a system distributes energy equitably among all degrees of freedom (DoF) available to it.

For a diatomic particle,  $\exists$  three translational, two rotational, and two vibrational  $(\frac{1}{2}kx^2, \frac{1}{2}mv^2)$  DoF.

Stat Mech  $\Rightarrow$  vibrational DoF dormant at ordinary temperatures, while PoE  $\Rightarrow$  each rotational DoF contributes  $\frac{1}{2}\mathcal{N}k_{\mathrm{B}}T$  of internal energy to ensemble.



Thus, five DoF 
$$\Rightarrow$$
  $E_{\text{diatomic}} = \frac{5}{2} \mathcal{N} k_{\text{B}} T$ .

Let  $\nu =$  number of DoF, and define:

$$\gamma \equiv 1 + \frac{2}{\nu} \quad \Rightarrow \quad \nu = \frac{2}{\gamma - 1} \qquad \begin{array}{c|cccc} & \nu & \gamma \\ \hline \text{monatomic} & 3 & \frac{5}{3} \\ \text{diatomic} & 5 & \frac{7}{5} \\ \text{polyatomic} & 5 < \nu \leq 6 & \frac{7}{5} < \gamma \leq \frac{4}{3}, \end{array}$$

where one can show  $\gamma = C_P/C_V$  (ratio of specific heats), and where for an adiabatic gas of density  $\rho$ ,  $p \propto \rho^{\gamma}$ .

For polyatomic molecules, Stat Mech and tensor moment of inertia  $\Rightarrow \nu \notin \mathbb{Z}$ .

Still, difference between 7/5 and 4/3 for  $\gamma$  is generally unimportant.

Writing: 
$$E = \frac{\nu}{2} \mathcal{N} k_{\rm B} T = \frac{\mathcal{N} k_{\rm B} T}{\gamma - 1}$$
, define internal energy density as,

$$e \equiv \frac{E}{V} = \frac{1}{\gamma - 1} \frac{\mathcal{N}k_{\rm B}T}{V} = \frac{p}{\gamma - 1}$$
 using ideal gas law 
$$\Rightarrow \boxed{p = (\gamma - 1)e,}$$
 (1.1.4)

most common form of ideal gas law in hydrodynamics. Another useful form:

$$p = \frac{\mathcal{N}mk_{\rm B}T}{mV} = \frac{M}{V}\frac{k_{\rm B}T}{m} \quad \Rightarrow \quad p = \frac{\rho k_{\rm B}T}{m},$$
 (1.1.5)

where m is average gas particle mass.

Finally, revisiting our definition of  $v_{\rm rms}$  (Eq. 1.1.3),

$$v_{\rm rms} = \sqrt{\frac{3k_{\rm B}T}{m}} = \sqrt{\frac{\nu k_{\rm B}T}{m}} = \sqrt{\frac{2k_{\rm B}T}{(\gamma - 1)m}} = \sqrt{\frac{2p}{(\gamma - 1)\rho}}.$$
 (1.1.6)

Preview: sound speed in an adiabatic gas is:

$$c_{\rm s} = \sqrt{\frac{\gamma p}{\rho}} \quad \Rightarrow \quad \frac{v_{\rm rms}}{c_{\rm s}} = \sqrt{\frac{2}{\gamma(\gamma - 1)}} = \frac{3}{\sqrt{5}},$$

for  $\gamma = 5/3$ . That  $v_{\rm rms}$  and  $c_{\rm s}$  differ only by a constant of order unity suggests a fundamental relationship exists between them (Lesson 4).

#### <u>Class exercises:</u>

(Problem 1.1) On a cold winter afternoon, you enter your winter cabin (which has not been heated for weeks) freezing cold. You light a roaring fire in the hearth and after an hour, the cabin is warm enough to take off your winter clothing.

- a) Does the air in your cabin contain more, less, or the same total internal energy, E, now that it is warm than when it was cold? Explain.
- b) Where does all the energy from the fire go?

#### Answers.

a) From the ideal gas law (Eq. 1.1.4),  $e = p/(\gamma - 1)$  and thus the total internal energy in the cabin of volume V is:

$$E = eV = \frac{pV}{\gamma - 1}.$$

Evidently, V is constant and, despite the warmer temperature, the cabin pressure, p, also remains constant (otherwise the door could burst open!). Thus E is unchanged.

So what does change? From Eq. (1.1.5),  $p \propto \rho T$ . For T to increase while p remains constant means  $\rho$  must decrease; hot air, being less dense, rises.

b) Some energy from the fire heats up the solids in the room—the furniture, walls, etc. A substantial amount of energy does work to force air through the walls, leaky doors, and windows where the warmer cabin air both heats and expands into the colder air outdoors. In Lesson 2 we shall refer to this expansion as "pdV work".

# Lesson 2

In this lesson, we introduce the *Theorem of hydrodynamics*, from which the three fundamental equations of ideal hydrodynamics are derived:

- 1. the continuity equation;
- 2. the total energy equation; and
- 3. the momentum equation.

## 1.2 Theorem of hydrodynamics

Definitions

Extensive quantity: proportional to amount of material (e.g., M, E, V, etc., represented in upper case)

Intensive quantity: independent of amount of material (e.g.,  $\rho$ , e, p, T, etc., represented in lower case; T an exception)

 $\forall$  extensive quantity, Q,  $\exists$  a corresponding intensive quantity, q, such that:

$$q(\vec{r},t) = \lim_{\Delta V \to 0} \frac{\Delta Q(V,t)}{\Delta V} = \frac{\partial Q(V,t)}{\partial V}; \qquad Q(V,t) = \int_{V} q(\vec{r},t) \, dV.$$

- $q(\vec{r},t)$  must be integrable (no poles of  $\geq 1^{\rm st}$  order) over V
- Q(V,t) must be differentiable over V (no discontinuities or any order poles); more restrictive than integrability.

**Theorem 1.1.** Theorem of hydrodynamics. If Q(t) is an extensive quantity with  $q(\vec{r}, t)$  its corresponding intensive quantity, then:

$$\frac{dQ}{dt} = \Sigma \quad \Longleftrightarrow \quad \frac{\partial q}{\partial t} + \nabla \cdot (q\vec{v}) = \sigma,$$

where  $\vec{v} = d\vec{r}/dt$ , and  $\Sigma = \int_V \sigma \, dV$  are "source terms". Note that the product  $q\vec{v}$  must be differentiable over V.

Proof: 
$$\frac{dQ}{dt} = \Sigma \iff \frac{d}{dt} \int_{V} q dV = \int_{V} \sigma dV,$$

where V = V(t) may also vary in time. Thus,

$$\frac{d}{dt} \int_{V(t)} q dV = \lim_{\Delta t \to 0} \frac{1}{\Delta t} \left[ \int_{V(t+\Delta t)} q(\vec{r}, t+\Delta t) dV - \int_{V(t)} q(\vec{r}, t) dV \right]$$

$$= \lim_{\Delta t \to 0} \frac{1}{\Delta t} \left[ \int_{V(t+\Delta t)-V(t)} q(\vec{r}, t+\Delta t) dV + \int_{V(t)} q(\vec{r}, t+\Delta t) dV - \int_{V(t)} q(\vec{r}, t) dV \right]$$

$$= \lim_{\Delta t \to 0} \frac{1}{\Delta t} \int_{\Delta V} q(\vec{r}, t+\Delta t) dV + \lim_{\Delta t \to 0} \frac{1}{\Delta t} \int_{V(t)} \left[ q(\vec{r}, t+\Delta t) - q(\vec{r}, t) \right] dV.$$

 $V(t+\Delta t)$ 

Integrating over  $\Delta V = V(t+\Delta t) - V(t)$  is same as integrating over closed surface,  $\partial V$ , with volume differential  $dV = (\vec{v}\Delta t) \cdot (\hat{n}dA)$ . Thus,

differential 
$$dV = (v\Delta t) \cdot (ndA)$$
. Thus,
$$\frac{d}{dt} \int_{V(t)} q dV = \lim_{\Delta t \to 0} \frac{1}{\Delta t} \oint_{\partial V} q(\vec{r}, t + \Delta t) (\vec{v}\Delta t) \cdot (\hat{n}dA)$$

$$+ \int_{V(t)} \lim_{\Delta t \to 0} \frac{q(\vec{r}, t + \Delta t) - q(\vec{r}, t)}{\Delta t} dV$$

$$= \oint_{\partial V} q(\vec{r}, t) \vec{v} \cdot \hat{n} dA + \int_{V(t)} \frac{\partial q(\vec{r}, t)^{1}}{\partial t} dV$$

$$= \int_{V(t)} \nabla \cdot \left( q(\vec{r}, t) \vec{v} \right) dV + \int_{V(t)} \frac{\partial q(\vec{r}, t)}{\partial t} dV \quad \text{(Gauss' theorem)}$$

$$= \int_{V(t)} \left( \frac{\partial q(\vec{r}, t)}{\partial t} + \nabla \cdot \left( q(\vec{r}, t) \vec{v} \right) \right) dV = \int_{V(t)} \sigma(\vec{r}, t) dV.$$

$$\Rightarrow \int_{V} \left( \frac{\partial q}{\partial t} + \nabla \cdot (q \vec{v}) - \sigma \right) dV = 0,$$

true for any V. Thus, integrand must be zero, proving the theorem.

- Q is the conserved quantity (modulo  $\Sigma$ ); q is volume-conservative.
- $\vec{f}_Q \equiv q\vec{v}$  is the advective Q-flux density (units  $[Q] \,\mathrm{m}^{-2}\,\mathrm{s}^{-1}$ ).

- 
$$\mathcal{F}_Q \equiv \int_{\Sigma} \vec{f}_Q \cdot \hat{n} dA = advective \ Q\text{-flux (units } [Q] \text{ s}^{-1})$$
  
=  $flux \text{ of } \vec{f}_Q \text{ through surface } \Sigma \text{ (units } [\vec{f}_Q] \text{ m}^2).$ 

<sup>&</sup>lt;sup>1</sup>Note to instructor: Students may need reminding why it's the partial derivative here, and not the full.

-  $\vec{f}_Q$  also interpreted as the flux density of  $\mathcal{F}_Q$  (units  $[\mathcal{F}_Q] \,\mathrm{m}^{-2}$ ).

#### Conservative equations of ideal HD 1.3

Definition: Ideal HD means no dissipation of any sort.

- no viscosity (inviscid), radiation, resistivity (MHD), etc.
- 1. Continuity: Let Q = M;  $q = \rho$ . Conservation of mass  $\Rightarrow \frac{dM}{dt} = 0$ .

Thus, HD theorem 
$$\Rightarrow \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \vec{v}) = 0,$$
 (1.3.1)

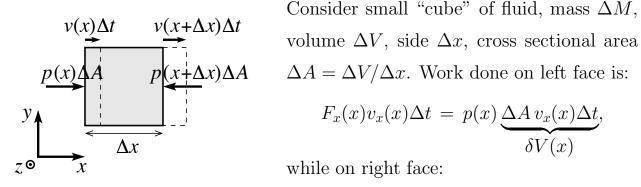
where  $\rho \vec{v}$  is the advective mass flux density.

2. Total energy equation: Let  $Q = E_T$ ;  $q = e_T = \frac{1}{2}\rho v^2 + e + \rho \phi$ .

Conservation of energy 
$$\Rightarrow \frac{dE_{\rm T}}{dt} = \sum \mathcal{P}_{\rm app}$$
. Thus, HD theorem  $\Rightarrow$ 

$$\frac{\partial e_{\rm T}}{\partial t} + \nabla \cdot (e_{\rm T} \vec{v}) = \sum p_{\rm app}, \qquad (1.3.2)$$

where  $p_{\rm app}$  is the applied power density (J s<sup>-1</sup>m<sup>-3</sup>).



Consider small "cube" of fluid, mass  $\Delta M$ ,

$$F_x(x)v_x(x)\Delta t = p(x)\underbrace{\Delta A v_x(x)\Delta t}_{\delta V(x)},$$

while on right face:

$$F_x(x+\Delta x)v_x(x+\Delta x)\Delta t = -p(x+\Delta x)\underbrace{\Delta A \, v_x(x+\Delta x)\Delta t}_{\delta V(x+\Delta x)}$$

$$F_x(x+\Delta x) \text{ and } v_x(x+\Delta x) \text{ anti-parallel}$$

$$\Rightarrow \Delta W = p(x)\delta V(x) - p(x+\Delta x)\delta V(x+\Delta x) \quad ("pdV" \text{ work})$$

$$= -\frac{p(x+\Delta x)v_x(x+\Delta x) - p(x)v_x(x)}{\Delta x} \underbrace{\Delta x \Delta A}_{\Delta V} \Delta t$$

$$= -\frac{\partial (pv_x)}{\partial x} \Delta V \Delta t$$

$$\Rightarrow \frac{\Delta W}{\Delta t} = \mathcal{P}_{app} = -\frac{\partial (pv_x)}{\partial x} \Delta V,$$

$$\Rightarrow p_{app} = \frac{\mathcal{P}_{app}}{\Delta V} = -\frac{\partial (pv_x)}{\partial x} \quad (x\text{-contribution only})$$

Including y- and z-contributions,  $\sum p_{\rm app} = -\nabla \cdot (p\vec{v})$ , and Eq. (1.3.2)  $\Rightarrow$ 

$$\frac{\partial e_{\mathrm{T}}}{\partial t} + \nabla \cdot (e_{\mathrm{T}}\vec{v}) = -\nabla \cdot (p\vec{v}) \quad \Rightarrow \quad \boxed{\frac{\partial e_{\mathrm{T}}}{\partial t} + \nabla \cdot ((e_{\mathrm{T}} + p)\vec{v}) = 0,} \quad (1.3.3)$$

the total energy equation where  $(e_T + p)\vec{v} = \text{advective energy flux density}$ .

3. Momentum equation: Let  $Q = \vec{S}$ ;  $q = \vec{s} = \rho \vec{v}$ .

Newton's 2<sup>nd</sup> Law 
$$\Rightarrow \frac{d\vec{S}}{dt} = \sum \vec{F}_{\text{ext}}$$
. Thus, HD theorem  $\Rightarrow$ 

$$\frac{\partial \vec{s}}{\partial t} + \nabla \cdot (\vec{s}\vec{v}) = \sum \vec{f}_{\text{ext}}, \qquad (1.3.4)$$

where  $\vec{s}\vec{v}$  is the dyadic (outer) product of  $\vec{s}$  and  $\vec{v}$ :

$$\vec{s}\vec{v} = |s\rangle\langle v|^2 = \begin{bmatrix} s_x \\ s_y \\ s_z \end{bmatrix} \begin{bmatrix} v_x & v_y & v_z \end{bmatrix} = \begin{bmatrix} s_xv_x & s_xv_y & s_xv_z \\ s_yv_x & s_yv_y & s_yv_z \\ s_zv_x & s_zv_y & s_zv_z \end{bmatrix}.$$

Thus<sup>3</sup>,

$$\nabla \cdot (\vec{s}\vec{v}) = \begin{bmatrix} \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \end{bmatrix} \begin{bmatrix} s_x v_x & s_x v_y & s_x v_z \\ s_y v_x & s_y v_y & s_y v_z \\ s_z v_x & s_z v_y & s_z v_z \end{bmatrix}$$

<sup>&</sup>lt;sup>2</sup> "Bra-ket" notation due to Dirac; see footnote 5 on page 30 of the text.

<sup>&</sup>lt;sup>3</sup>valid in Cartesian coordinates only; see App. A in text for other coordinate systems.

$$= \left( \frac{\partial(s_x v_x)}{\partial x} + \frac{\partial(s_x v_y)}{\partial y} + \frac{\partial(s_x v_z)}{\partial z}, \frac{\partial(s_y v_x)}{\partial x} + \frac{\partial(s_y v_y)}{\partial y} + \frac{\partial(s_y v_z)}{\partial z}, \right.$$

$$\frac{\partial(s_z v_x)}{\partial x} + \frac{\partial(s_z v_y)}{\partial y} + \frac{\partial(s_z v_z)}{\partial z} \right)$$

$$= \left( \nabla \cdot (s_x \vec{v}), \nabla \cdot (s_y \vec{v}), \nabla \cdot (s_z \vec{v}) \right).$$

Next,  $\vec{f}_{\rm ext}$  are external force densities (N m<sup>-3</sup>):

- pressure gradient;
- gravity (if any).

Net pressure force in x-direction for small cube of fluid is:

$$\sum F_{x} = F(x+\Delta x) + F(x) = -p(x+\Delta x) \Delta A + p(x) \Delta A$$

$$= -\frac{p(x+\Delta x) - p(x)}{\Delta x} \underbrace{\Delta x \Delta A}_{\Delta V} = -\frac{\Delta p}{\Delta x} \Delta V \rightarrow -\frac{\partial p}{\partial x} \Delta V$$

$$\Rightarrow \sum f_{x} = \frac{1}{\Delta V} \sum F_{x} = -\frac{\partial p}{\partial x}$$

$$\Rightarrow \vec{f_{p}} = -\frac{\partial p}{\partial x} \hat{x} - \frac{\partial p}{\partial y} \hat{y} - \frac{\partial p}{\partial z} \hat{z} = -\nabla p.$$

$$y = \sum_{x} f_{x} + \sum_{x} f_{x}$$

For gravity,  $\vec{F}_g = -\Delta M \nabla \phi$ 

$$\Rightarrow \quad \vec{f_g} = -\frac{\Delta M}{\Delta V} \nabla \phi = -\rho \nabla \phi.$$

Including  $\vec{f_p}$ ,  $\vec{f_g}$  on RHS of Eq. (1.3.4) yields the momentum equation:

$$\frac{\partial \vec{s}}{\partial t} + \nabla \cdot (\vec{s}\vec{v}) = -\nabla p - \rho \nabla \phi.$$
 (1.3.5)

Using identity  $\nabla p = \nabla \cdot (p\mathbb{I})$  where  $\mathbb{I} = \text{identity matrix (exercises)}$ , we get,

$$\frac{\partial \vec{s}}{\partial t} + \nabla \cdot (\vec{s}\vec{v} + p\mathbb{I}) = -\rho \nabla \phi, \qquad (1.3.6)$$

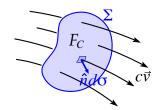
where  $\vec{s}\vec{v} + p\mathbb{I} =$  advective momentum flux density.

#### Class exercises:

1. Let C be an extensive (hydrodynamical) quantity and c its corresponding intensive quantity. Suppose C is conserved, and thus,

$$\frac{dC}{dt} = 0.$$

- a) Write down the evolution equation for the intensive quantity, c.
- b) In terms of C, what kind of flux is  $c\vec{v}$ ? Justify by noting the units.
- c) In terms of C, what kind of flux is  $\mathcal{F}_C = \int_{\Sigma} (c\vec{v}) \cdot \hat{n} d\sigma$ , where  $\Sigma$  is an open surface as illustrated in the inset.



- d) In terms of  $\mathcal{F}_C$ , what kind of flux is  $c\vec{v}$ ?
- e) In terms of  $c\vec{v}$ , what kind of flux is  $\mathcal{F}_C$ ?
- 2. Show that for any coordinate system,  $\nabla p = \nabla \cdot (p \mathbb{I})$ , where  $\mathbb{I}$  is the identity matrix.

### Answers.

- 1. a)  $\frac{\partial c}{\partial t} + \nabla \cdot (c\vec{v}) = 0$  (Theorem of hydrodynamics).
  - b) Units of  $c\vec{v}$  are  $([C]m^{-3})(ms^{-1}) = [C]m^{-2}s^{-1}$ , thus  $c\vec{v} = advective$  flux density of C.

    "density" advective"
  - c) Units of  $\mathcal{F}_C$  are  $[c\vec{v}]m^2 = [C]m^{-2}s^{-1}m^2 = [C]s^{-1}$ , thus  $\mathcal{F}_C = advective flux of C$ .
  - d) Units of  $c\vec{v}$  are  $[\mathcal{F}_C]$  m<sup>-2</sup>, thus  $c\vec{v} = flux$  density of  $\mathcal{F}_C$ .
  - e) Units of  $\mathcal{F}_C$  are  $[c\vec{v}]$  m<sup>2</sup>, thus  $\mathcal{F}_C = flux \ of \ c\vec{v}$ .
- 2. One cannot approach a problem like this by breaking up the vectors and matrices into their Cartesian components, for then one only shows the identity true for Cartesian coordinates. To prove true for *any* coordinate system, one *must* use vector identities such as those in App. A in the text. Thus, from Eq. (A.24),

$$\nabla \cdot (p\mathbb{I}) = \mathbb{I} \cdot \nabla p + p \nabla \cdot \mathbb{I} = \nabla p,$$

as desired, since  $\mathbb I$  is the identity (and constant) matrix.