



The Cosmos

Planets & Life



PHYS 214

Dr Rob Thacker

Dept of Physics (308A)

thacker@astro.queensu.ca

Please start all class related emails with “214:”

The final pop quiz!

- Covers all lectures since last quiz...
- Note there will be no review lecture
 - University is closed on April 6th (Good Friday) – I will post notes
- Solutions for assignment 3 are up in the solutions cabinet of Stirling Hall

Today's Lecture

$$N = R^* p_p n_E p_i p_c T$$

- Constraining p_i, p_i, p_c, T
 - Finalize our look at life & examine the development of intelligence (rather speculative)
 - Issues relating to choosing to communicate
 - Lifetime of communications
- We'll touch on a few SETI details (more later in the week)

p_1 – probability of planets in the habitable zone spawning life

- We know on Earth life evolved very rapidly
 - Geological evidence shows fossilized bacteria at 3.8 Gyr ago
 - Complex animal life took far longer to evolve though
- Some people take this to be evidence that life will evolve rapidly elsewhere
 - Which suggests life should be common – perhaps $p_1=1$ (or something close to it?)
 - Is this a reasonable assumption?

Be careful about the statistics

- Just because life evolved quickly on Earth does not have to imply life is common
 - If we were the only habitable planet in the galaxy where life had evolved we would still see life evolving the way we have...
 - However, this would likely mean there is something unusual about Earth (*c.f.* the Rare Earth Hypothesis)
- By considering different scenarios for the time for life to evolve Lineweaver & Davis (2002)* show that for planets older than 1 Gyr the probability of life (*biogenesis*) evolving is $> 13\%$ at a 95% confidence level
 - We thus take $p_1 \approx 0.1$ as a conservative estimate

*See Nature, <http://www.nature.com/news/2002/020513/full/020513-3.html>

Can we hope to constrain p_1 more?

- **YES!**
- Being able to detect Earth-like planets is a driving force behind next generation interferometric missions like the Terrestrial Planet Finder (US, 2020?) or Darwin (ESA, 2015?)
- These missions are generically termed “life finding” as they will look for strong biosignatures like O_2 to O_3



TPF concept

p_i – probability of intelligent life evolving

- Defining intelligence is obviously difficult
 - We have a difficult time defining it for humans
 - We could however, for the purposes of SETI, just suggest intelligence is the capability to make a radio transmitter
- However, as a precursor we know that microbes need to evolve into complex multicellular life
- Once we have reached that stage it is unclear precisely what steps are needed to evolve a level of intelligence capable of building interstellar communication devices
 - Is a sequence of special events required? Do intelligent species need to avoid impact events
 - Dinosaurs did not evolved to the same level as we have within the 160 Myr period they existed for
 - *Homo sapiens* developed high intelligence ~200,000 years after evolving as a distinct species

Encephalization Quotient

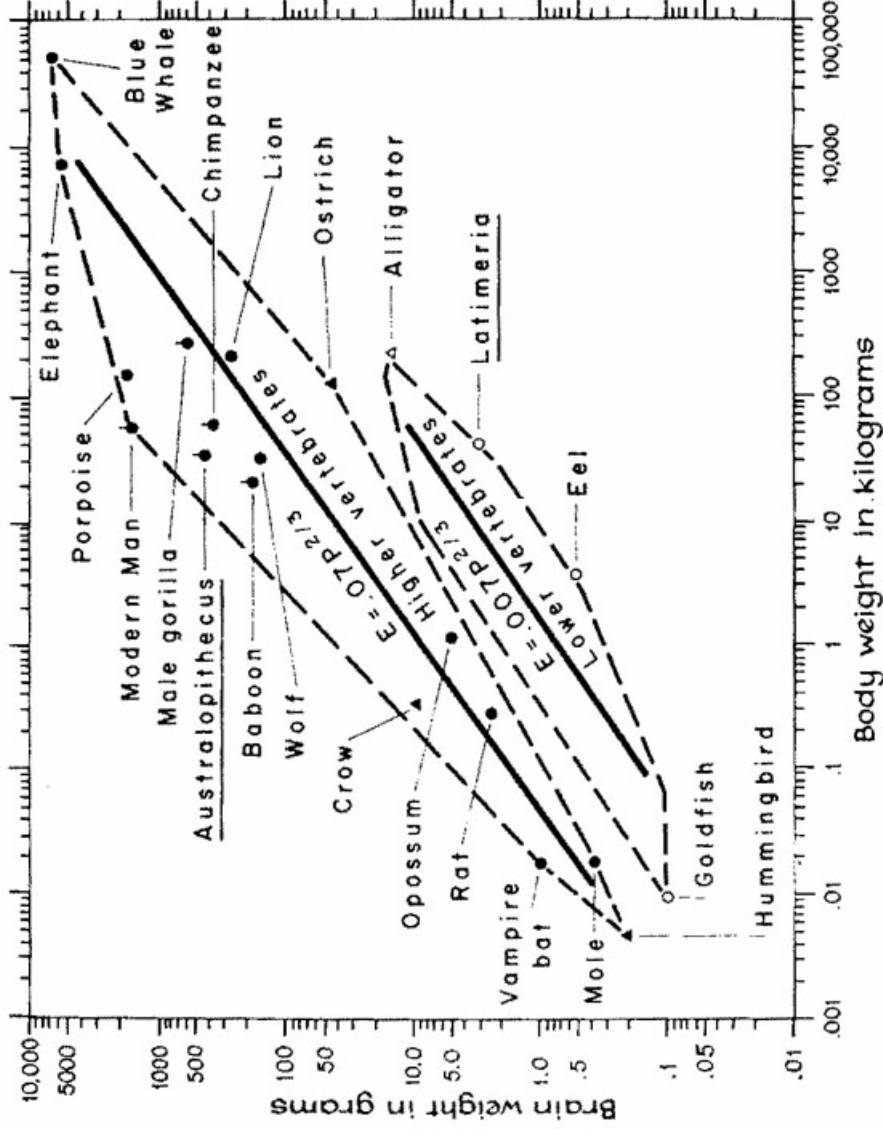
- A simple (*crude*) biological estimate of relative intelligence, it is defined in two steps
- Firstly, Encephalization (E) is the ratio of brain mass to body “surface mass”

$$E = \frac{\text{Brain Mass}}{(\text{Body Mass})^{2/3}}$$

- The 2/3 power is used because brains do not seem to grow in proportion to mass

Note some authors define E as being the encephalization quotient by normalizing by a certain value – watch out for this.

Comparison of vertebrates



- Mammals and birds have brains roughly 10x larger than reptiles and fish

Encephalization Quotient

- Encephalization Quotient (EQ) measures how “intelligent” a species is relative to other comparable life forms

ex. Dolphins compared to similar mass aquatic mammals of a similar mass

$$EQ = \frac{E(\text{actual})}{E(\text{average})}$$

land mammals

$$EQ(\text{cows}) = 0.2$$

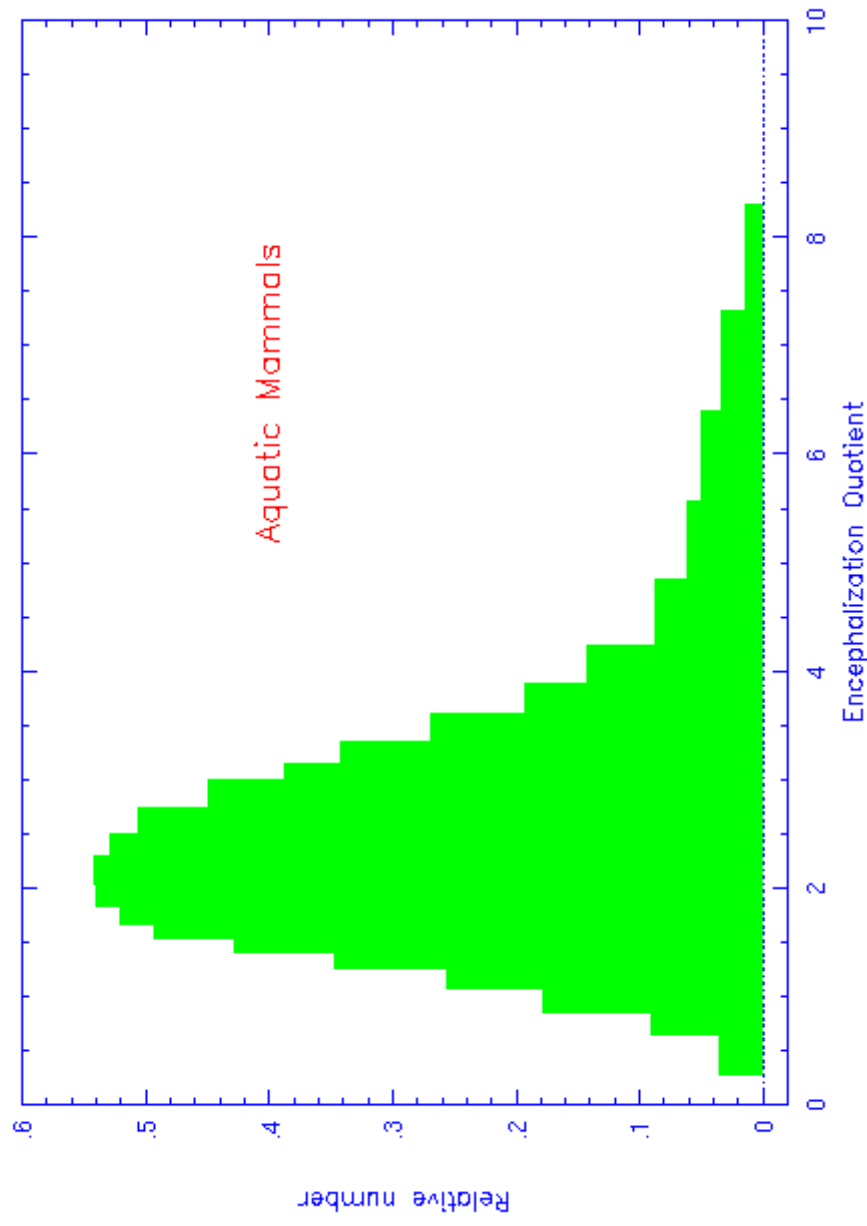
$$EQ(\text{dogs}) = 1$$

$$EQ(\text{chimps}) = 4$$

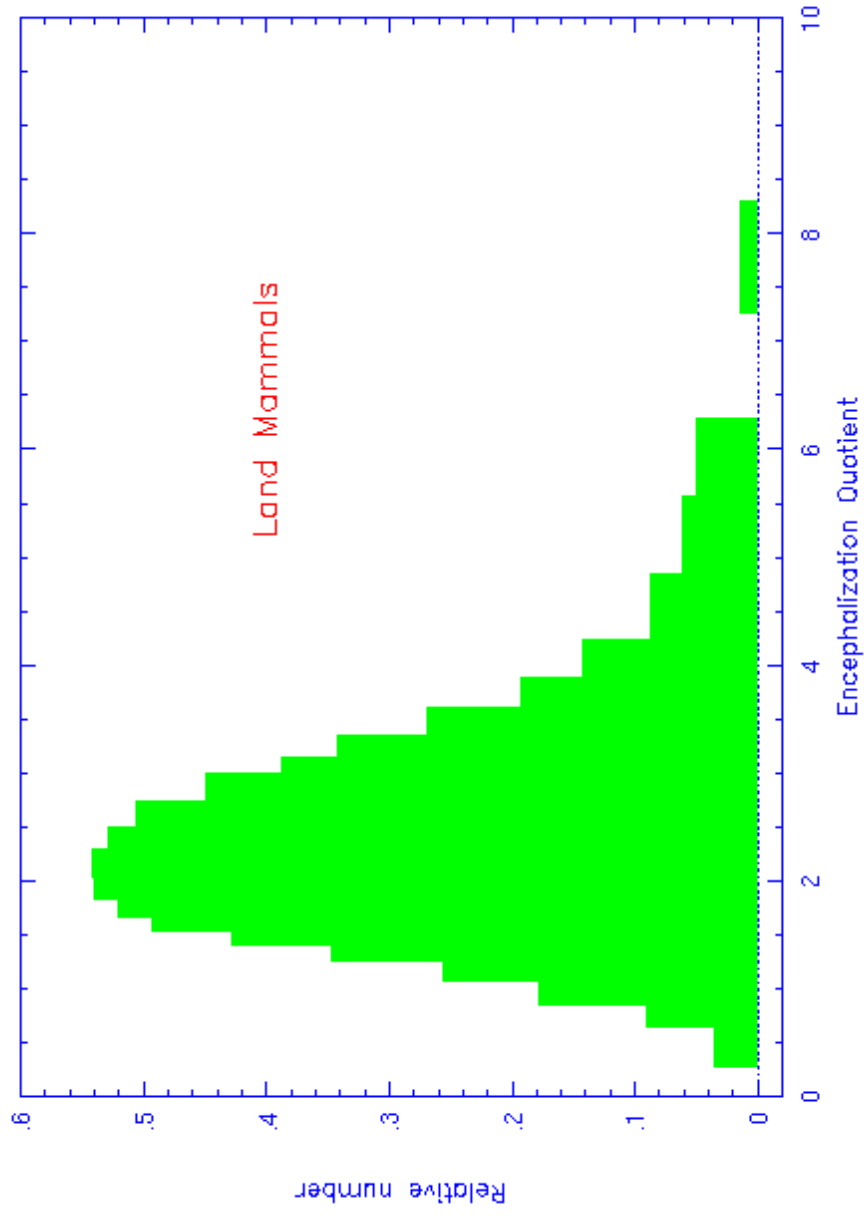
$$EQ(\text{humans}) = 7-8$$

*The frequently used formula for EQ across mammals/birds is $EQ = \text{brain mass} / (0.12 * (\text{body mass})^{2/3})$*

Encephalization Quotient – aquatic mammals



Encephalization Quotient – land mammals

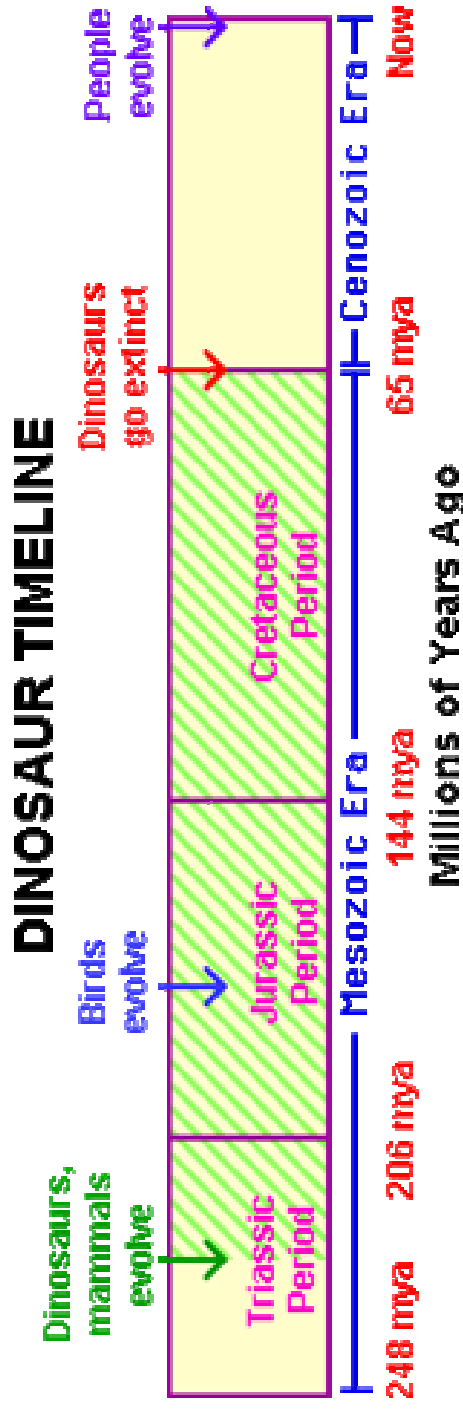


Is the development of the large brains in humans a “freak” event?

- Comparatively recently several studies have been published that attempt to link abnormal brain/cranial development to mutations within a single gene
 - Mutations of the “ASPM” gene are known to produce reductions in the size of the cerebral cortex
 - Gene MYH16 is known to have disabled the production of powerful jaws in early hominids and may have led to larger skulls
- Still, we have little idea exactly how many genes are directly responsible for determining brain size yet alone those which control brain complexity
 - and what about the role of selection effects from the environment?

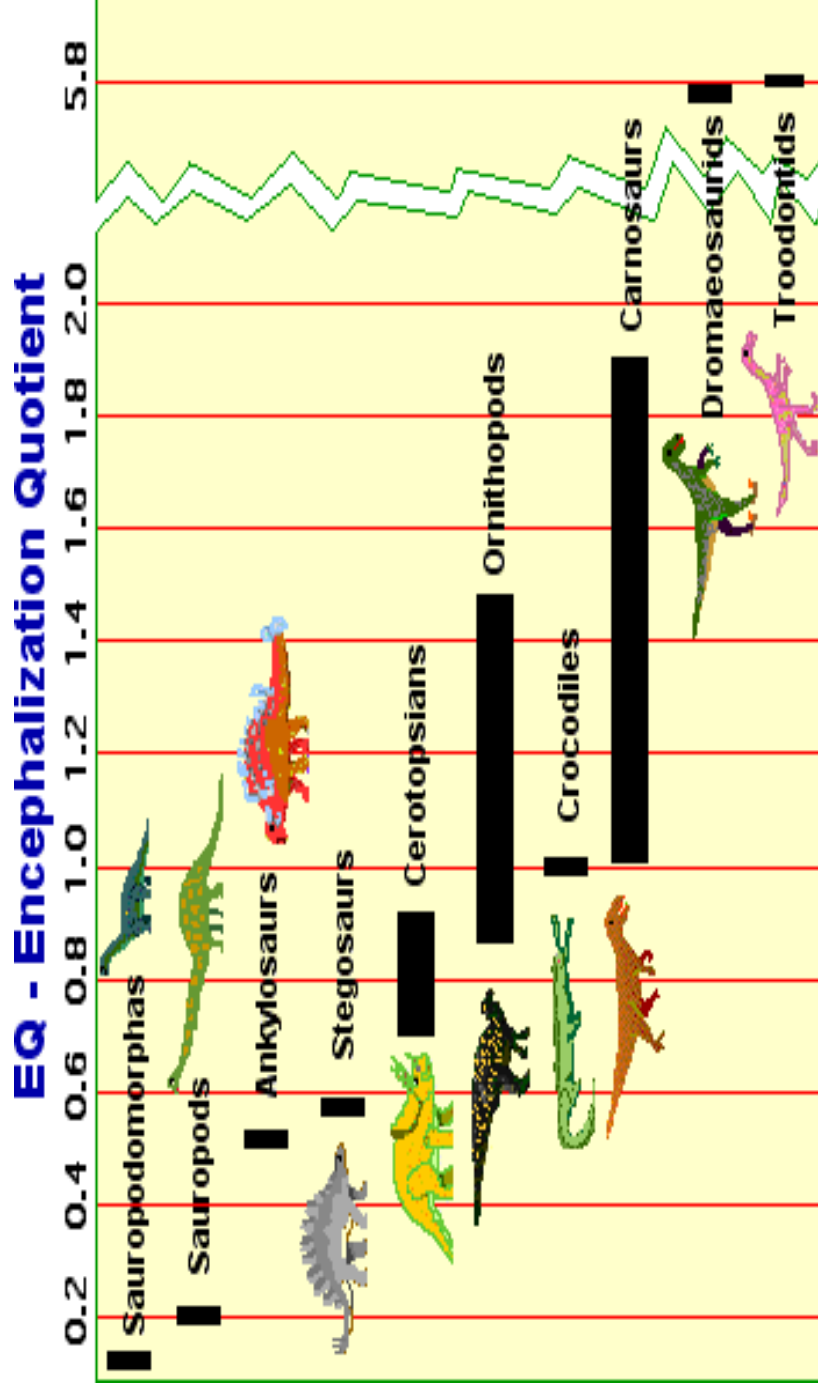
Can we learn anything from dinosaur evolution?

- How smart were the smartest dinosaurs?
- They evolved over 160 million years, whereas humans have been around only 200 thousand years... what was different?



EQ's for dinosaurs

- Some dinosaurs did possess a high EQ (up to ~6)



The most intelligent dinosaur?

- The highest EQ for dinosaurs belongs to *Troodon*
 - Binocular vision (forward facing eyes)
 - Stereoscopic hearing
 - Dextrous “hands”
- However, remember that the brain masses of reptiles & fish is 10x lower than mammals, so in reality *Troodon* was still fairly unadvanced
 - Certainly not close to a chimp!



How might Troodon have evolved?

Constraining p_i

- We are again limited to studying only our own evolution
- Notably, even though we have had mass extinctions there seems to be a clear trend towards higher levels of intelligence
 - This could of course be an unusual event since the Cretaceous extinction paved the way for mammals
- Given the evolutionary record it does not seem like that p_i is extremely small
 - What might have happened to *Troodon*?
- We can (slightly optimistically) set $p_i \approx 0.1$

Constraining p_c - does a civilization actively have to choose to broadcast?

- Couldn't we just detect their radio waves that are “leaking” out of the planet?
- Let's try a very quick calculation:
 - Assumption: Terrestrial radio antenna emits 10^6 W in all directions (bit higher than current values) and we'll try to detect this 1 ly distant
 - Sensitivity of radio telescopes is determined by the flux of incoming radiation (Watts per m^2)
 - Flux of signal from terrestrial antenna at that distance

$$F = \frac{L}{4\pi r^2} = \frac{10^6 \text{ W}}{4\pi(9.46 \times 10^{15} \text{ m})^2} = 8.9 \times 10^{-28} \text{ W m}^{-2}$$

How does this compare to the sensitivity limits of modern radio telescopes?

Comparison of some SETI searches

Survey	# of stars	Detection threshold/ $W m^{-2}$	EIRP at 4 ly / W	EIRP at 1000 ly / W
Arecibo	280	8×10^{-27}	10^8	9×10^{12}
Allen Telescope (SETI Inst., 2010?)	1000000	$\sim 1 \times 10^{-25}$	2×10^9	10^{14}
SKA (2016?)	1000000	8×10^{-29}	10^6	9×10^{10}

EIRP=Equivalent isotropic radio power, corresponds to the strength of the transmitter

Only the SKA could detect a $10^6 W$ antenna at 1 ly away (it could at 4 ly actually)

The Square Kilometer Array

- A truly incredible “next generation” radio telescope
 - Cost 1 billion dollars, 70 million per year to operate
 - International consortium (Canada involved, but not as much as we would like!)
 - It will have a collection area of 1000000 m²
- SETI will only get a fairly small amount of time on the telescope though



SKA movie

So given we can't detect “average signals” ...

- A civilization might choose to build a massive transmitter 100's of MW ...
 - Many papers have been written on this – SETI “beacons”
 - However, given the huge amount of empty space out there this is a very inefficient way of working
- Most likely scenario: detect civilizations that actively beam a signal toward us
 - If you do this you can actually increase the effective power of your transmitter by many orders of magnitude
 - For example, the Arecibo radio telescope has an equivalent isotropic power of 2×10^{13} W
 - Unfortunately it is speculated that only 3 planetary systems have ever been illuminated by the narrow beam

So what value would p_c take?



- In reality for us, this value is pretty much zero
 - We are quite naturally very concerned about uninvited guests!
- We have actually sent messages (without asking everyone on the planet(!) whether this is OK...)
 - In 1974 a message was beamed towards a distant star cluster known as M13 by Frank Drake, using the Arecibo transmitter
 - It will take 25000 years to get there
- At the time the UK Astronomer Royal wrote to Drake questioning the wisdom of such an act
 - Drake replied we've been leaking radio for decades without worrying about it
 - Is this an acceptable answer in view of what we know about signal strengths?

Another message was sent in 2001 from the Ukraine (different group) with an EIRP of 2×10^{12} W

What about T, the lifetime of the broadcast?

- For the 1974 message, the lifetime of the broadcast was only repeated over 169 seconds
- For the 2001 message the lifetime was 3 hours – still an incredibly short amount of time when you think about it...
- So it is looking more and more like T might also be close to zero as well, but again we have only ourselves to draw this information from...
- This is even before we consider questions of civilization lifetimes, survival *etc.*

Summary of lecture 27

- Depending on whether the Earth is special, we can take $p_i=0.1$ to a fairly high level of confidence
- p_i is much less clear, and may well have a strong environmental dependence. $p_i=0.1$ is probably at the upper end of possibilities
- p_c could well be small – it certainly is for us as we have not made a unilateral decision to broadcast
- Lastly, the lifetime of the broadcast, T , is equally poorly constrained
 - Directed terrestrial broadcasts have lasted at most hours

Next lecture

- Drake Equation
 - Putting it all together!